

SOCIALLY RESPONSIBLE INVESTMENT CRITERIA

Please check those activities that should be excluded from the portfolio.

Consumer Products

- Production or distribution of alcoholic beverages
- Production or distribution of tobacco
- Production or distribution of tobacco-related supplies (e.g., filters, papers, etc.)
- Research in or production of genetically modified foods

Environment

- Ownership or operation of facilities cited by the Environmental Protection Agency (EPA) as materially damaging to the environment
- Ownership or operation of facilities generating nuclear energy

Human Life Issues

- Research conducted using embryonic stem cells
- Research involving genetic engineering
- Production or distribution of contraceptives or abortion-related products
- Ownership or operation of facilities performing abortions
- Policy coverage of abortions by insurance providers

Human Rights

- Ownership or operation of facilities not conforming to generally-accepted standards for the treatment of workers
- Use of suppliers not conforming to standards for the treatment of workers
- Ownership or operation of facilities in countries that violate human rights
- Ownership or operation of facilities in countries designated by the U.S. State Department as state sponsors of terrorism

Military

- Research or production of nuclear, chemical or biological weapons
- Research or production of conventional weaponry
- Major military contractor (other than weaponry)

(over)

Other

- Ownership or operation of gambling facilities; production of gambling equipment; or providing gambling-related services
- Animal testing not in compliance with AAALAC or NIH standards
- Production or distribution of media that is of a gratuitously violent, misogynistic or pornographic nature

Please list any interests/activities/criteria that should be included in the portfolio.

Additional Client Comments:

Client Name

Date

*Note: Company information is obtained from sources we believe to be reliable.
Our research sources include:*

*KLD/Socrates
Social Investment Research Service
Interfaith Center on Corporate Responsibility
Investor Responsibility Research Center
Bloomberg, L.P.
Thompson Financial
Company regulatory filings*